

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0112300-811

In Re Application Of: Ward W. Chilton et al.

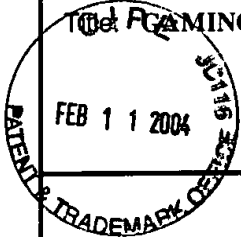
Serial No.
09/960,762

Filing Date
September 21, 2001

Examiner
Scott E. Jones

Group Art Unit
3713

TOP GAMING DEVICE PROVIDING TOURNAMENT ENTRIES



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

RECEIVED
FEB 18 2004
TECHNOLOGY CENTER R3700

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0112300-811

In Re Application: Ward W. Chilton et al.

Serial No.

09/960,762

Filing Date

September 21, 2001

Examiner

Scott E. Jones

Group Art Unit

3713

GAMING DEVICE PROVIDING TOURNAMENT ENTRIES

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Adam H. Masia

Signature

Adam H. Masia (Reg. No. 35,602)

BELL, BOYD & LLOYD LLC

P. O. Box 1135

Chicago, Illinois 60690-1135

Dated: February 11, 2004

RECEIVED
FEB 18 2004
TECHNOLOGY CENTER R3700

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ward W. Chilton et al.
Appl. No.: 09/960,762
Conf. No.: 6315
Filed: September 21, 2001
Title: GAMING DEVICE PROVIDING TOURNAMENT ENTRIES
Art Unit: 3713
Examiner: Scott E. Jones
Docket No.: 0112300-811

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,582,324	April 15, 1986	Koza et al.
4,679,143	July 7, 1987	Hagiwara
4,817,951	April 4, 1989	Couch et al.
4,837,728	June 6, 1989	Barrie et al.
4,856,787	August 5, 1989	Itkis
4,871,171	October 3, 1989	Rivero
5,082,271	January 12, 1992	Thacher et al.
5,152,529	October 6, 1992	Okada
5,186,460	February 16, 1993	Fongellaz et al.
5,242,163	September 7, 1993	Fulton
5,265,874	November 30, 1993	Dickinson et al.
5,275,400	January 4, 1994	Weingardt et al.
5,288,081	February 22, 1994	Breeding
5,290,033	March 1, 1994	Bittner et al.

RECEIVED
FEB 18 2004
TECHNOLOGY CENTER R3700

5,393,057	February 28, 1995	Marnell, II
5,417,430	May 23, 1995	Breeding
5,472,197	December 5, 1995	Gwiasda et al.
5,544,892	August 13, 1996	Breeding
5,560,603	October 1, 1996	Seelig et al.
5,564,701	October 15, 1996	Dettor
5,611,730	March 18, 1997	Weiss
5,655,961	August 12, 1997	Acres et al.
5,664,998	September 9, 1997	Seelig et al.
5,758,875	June 2, 1998	Giacalone Jr.
5,761,647	June 2, 1998	Boushy
5,769,716	June 23, 1998	Saffari et al.
5,779,242	July 14, 1998	Kaufman
5,779,544	July 14, 1998	Seelig et al.
5,779,549	July 14, 1998	Walker et al.
5,806,045	September 8, 1998	Biorge et al.
5,816,918	October 6, 1998	Kelly et al.
5,820,460	October 13, 1998	Fulton
5,833,536	November 10, 1998	Davids et al.
5,851,148	December 22, 1998	Brune et al.
5,855,515	January 5, 1999	Pease et al.
Des. 404,436	January 19, 1999	McGahn et al.
5,876,284	March 2, 1999	Acres et al.
5,902,184	May 11, 1999	Bennett
5,902,983	May 11, 1999	Crevelt et al.
5,911,418	June 15, 1999	Adams
5,924,927	July 20, 1999	Matsuura et al.
5,947,820	September 7, 1999	Morro et al.
5,951,397	September 14, 1999	Dickinson
5,980,384	November 9, 1999	Barrie
5,997,400	December 7, 1999	Seelig et al.
6,012,982	January 11, 2000	Piechowiak et al.

6,012,983	January 11, 2000	Walker et al.
6,019,374	February 1, 2000	Breeding
6,077,162	June 20, 2000	Weiss
6,082,887	July 4, 2000	Feuer et al.
6,089,975	July 18, 2000	Dunn
6,089,976	July 18, 2000	Schneider et al.
6,102,400	August 15, 2000	Scott et al.
6,120,378	September 19, 2000	Moody et al.
6,135,885	October 24, 2000	Lermusiaux
6,146,273	November 14, 2000	Olsen
6,159,095	December 12, 2000	Frohm et al.
6,159,097	December 12, 2000	Gura
6,165,071	December 26, 2000	Weiss
6,190,255 B1	February 20, 2001	Thomas et al.
6,364,765 B1	April 2, 2001	Walker et al.
6,210,275 B1	April 3, 2001	Olsen
6,224,486 B1	May 1, 2001	Walker et al.
6,287,202 B1	September 11, 2001	Pascal et al.
6,309,307 B1	October 30, 2001	Krause et al.
6,375,567 B1	April 23, 2002	Acres
6,425,828 B2	July 30, 2002	Walker et al.
6,508,709 B1	January 21, 2003	Karmarkar

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
WO 00/20082	April 13, 2000	PCT

OTHER DOCUMENTS

EZ-Pay and Related Brochures published by IGT by December 2000.

Player Tracking and Related Brochures published by IGT by August 2001.

Raining Diamonds Advertisement published by Sierra Design Group by August 2001.

Silver Strike Advertisement and Pictures published by Anchor Gaming by December 1997.

Scarne, Scarne's New Complete Guide to Gambling, 1974, Simon & Schuster, 162-167.



Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY *Adam H. Masia*

Adam H. Masia

Reg. No. 35,602

P.O. Box 1135

Chicago, Illinois 60690-1135

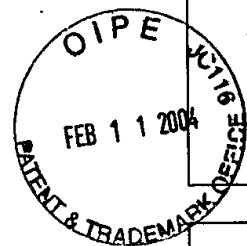
Phone: (312) 807-4284

Dated: February 11, 2004

RECEIVED

FEB 18 2004

TECHNOLOGY CENTER R3700

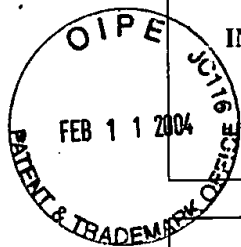


INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449		Atty Docket No. 0112300-811	Application No. 09/960,762
		Applicant Chilton et al.	
		Filing Date September 21, 2001	Group 3713

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,582,324	April 15, 1986	Koza et al.			
	4,679,143	July 7, 1987	Hagiwara			
	4,817,951	April 4, 1989	Couch et al.			
	4,837,728	June 6, 1989	Barrie et al.			
	4,856,787	August 5, 1989	Itkis			
	4,871,171	October 3, 1989	Rivero			
	5,082,271	January 12, 1992	Thacher et al.			
	5,152,529	October 6, 1992	Okada			
	5,186,460	February 16, 1993	Fongeallaz et al.			
	5,242,163	September 7, 1993	Fulton			
	5,265,874	November 30, 1993	Dickinson et al.			
	5,275,400	January 4, 1994	Weingardt et al.			
	5,288,081	February 22, 1994	Breeding			
	5,290,033	March 1, 1994	Bittner et al.			
	5,393,057	February 28, 1995	Marnell, II			
	5,417,430	May 23, 1995	Breeding			
	5,472,197	December 5, 1995	Gwiasda et al.			
	5,544,892	August 13, 1996	Breeding			
	5,560,603	October 1, 1996	Seelig et al.			
	5,564,701	October 15, 1996	Dettor			
	5,611,730	March 18, 1997	Weiss			
	5,655,961	August 12, 1997	Acres et al.			
	5,664,998	September 9, 1997	Seelig et al.			
	5,758,875	June 2, 1998	Giacalone Jr.			
	5,761,647	June 2, 1998	Boushy			
	5,769,716	June 23, 1998	Saffari et al.			
	5,779,242	July 14, 1998	Kaufman			
	5,779,544	July 14, 1998	Seelig et al.			

RECEIVED
 FEB 18 2004
 TECHNOLOGY CENTER

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

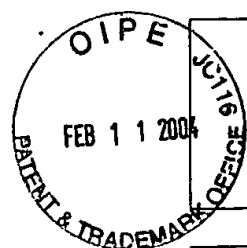


INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449		Atty Docket No. 0112300-811	Application No. 09/960,762
		Applicant Chilton et al.	
		Filing Date September 21, 2001	Group 3713

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,779,549	July 14, 1998	Walker et al.			
	5,806,045	September 8, 1998	Biorge et al.			
	5,816,918	October 6, 1998	Kelly et al.			
	5,820,460	October 13, 1998	Fulton			
	5,833,536	November 10, 1998	Davids et al.			
	5,851,148	December 22, 1998	Brune et al.			
	5,855,515	January 5, 1999	Pease et al.			
	Des. 404,436	January 19, 1999	McGahn et al.			
	5,876,284	March 2, 1999	Acres et al.			
	5,902,184	May 11, 1999	Bennett			
	5,902,983	May 11, 1999	Crevelt et al.			
	5,911,418	June 15, 1999	Adams			
	5,924,927	July 20, 1999	Matsuura et al.			
	5,947,820	September 7, 1999	Morro et al.			
	5,951,397	September 14, 1999	Dickinson			
	5,980,384	November 9, 1999	Barrie			
	5,997,400	December 7, 1999	Seelig et al.			
	6,012,982	January 11, 2000	Piechowiak et al.			
	6,012,983	January 11, 2000	Walker et al.			
	6,019,374	February 1, 2000	Breeding			
	6,077,162	June 20, 2000	Weiss			
	6,082,887	July 4, 2000	Feuer et al.			
	6,089,975	July 18, 2000	Dunn			
	6,089,976	July 18, 2000	Schneider et al.			
	6,102,400	August 15, 2000	Scott et al.			
	6,120,378	September 19, 2000	Moody et al.			
	6,135,885	October 24, 2000	Lermusiaux			
	6,146,273	November 14, 2000	Olsen			

RECEIVED
 FEB 18 2004
 TECHNOLOGY CENTER 43700

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 0112300-811	Application No. 09/960,762
Applicant Chilton et al.	
Filing Date September 21, 2001	Group 3713

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	6,159,095	December 12, 2000	Frohm et al.			
	6,159,097	December 12, 2000	Gura			
	6,165,071	December 26, 2000	Weiss			
	6,190,255 B1	February 20, 2001	Thomas et al.			
	6,364,765 B1	April 2, 2001	Walker et al.			
	6,210,275 B1	April 3, 2001	Olsen			
	6,224,486 B1	May 1, 2001	Walker et al.			
	6,287,202 B1	September 11, 2001	Pascal et al.			
	6,309,307 B1	October 30, 2001	Krause et al.			
	6,375,567 B1	April 23, 2002	Acres			
	6,425,828 B2	July 30, 2002	Walker et al.			
	6,508,709 B1	January 21, 2003	Karmarkar			

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 00/20082	April 13, 2000	PCT				

RECEIVED
FEB 18 2004
TECHNOLOGY CENTER #374

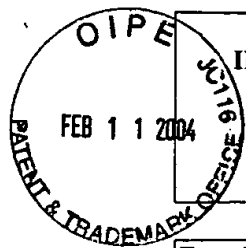
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner's Initials	
	EZ-Pay and Related Brochures published by IGT by December 2000.
	Player Tracking and Related Brochures published by IGT by August 2001.
	Raining Diamonds Advertisement published by Sierra Design Group by August 2001.

Examiner:

Date Considered:

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 0112300-811	Application No. 09/960,762
	Applicant Chilton et al.	
	Filing Date September 21, 2001	Group 3713

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Silver Strike Advertisement and Pictures published by Anchor Gaming by December 1997.
	Scarne, Scarne's New Complete Guide to Gambling, 1974, Simon & Schuster, 162-1671

RECEIVED
FEB 18 2004
TECHNOLOGY CENTER #2100

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	